



Dinas a Sir Abertawe

Hysbysiad o Gyfarfod

Fe'ch gwahoddir i gyfarfod

Panel Ariannu Allanol

Lleoliad: Ystafell Bwyllgor 5 - Neuadd y Ddinas, Abertawe

Dyddiad: Dydd Mercher, 4 Gorffennaf 2018

Amser: 2.00 pm

Cadeirydd: Cynghorydd Rob Stewart

Aelodaeth:

Cynghorwyr: J E Burtonshaw, M C Child, R Francis-Davies, D H Hopkins, E J King, A S Lewis, C E Lloyd, J A Raynor a/ac M Thomas

Gwahoddir Hefyd:

W Evans, M Sherwood

Agenda

Rhif y Dudalen.

- 1 Ymddiheuriadau am absenoldeb.
- 2 Datgeliadau o fuddiannau personol a rhagfarnol.
www.abertawe.gov.uk/DatgeliadauBuddiannau
- 3 **Cofnodion:** 1 - 3
Cymeradwyo a llofnodi, fel cofnod cywir, gofnodion y cyfarfod blaenorol.
- 4 **Grant Tai Fforddiadwy a Chyllid Rhaglen Tai Arloesol.** 4 - 15
- 5 **Trosolwg o'r Rhaglen Amlinellol Strategol Gymeradwy ar gyfer Band B Rhaglenni Ysgolion yr 21ain Ganrif.** 16 - 30
- 6 **Cynyddu Darpariaeth Cyfrwng Cymraeg - Cais am Grant Cyfalaf.** 31 - 49
- 7 **Cynnig i gyflwyno cais i Lywodraeth Cymru i ariannu safle newydd i sipsiwn a theithwyr.** 50 - 52

Cyfarfod Nesaf: Dydd Mercher, 1 Awst 2018 ar 2.00 pm

Huw Evans, Pennaeth Gwasanaethau Democraidd
Dydd Mercher, 27 Mehefin 2018

Cyswllt: Gwasanaethau Democraidd - 636923



City and County of Swansea

Minutes of the **External Funding Panel**

Committee Room 5 - Guildhall, Swansea

Wednesday, 6 June 2018 at 2.00 pm

Present: Councillor R C Stewart (Chair) Presided

Councillor(s)
J E Burtonshaw
A S Lewis

Councillor(s)
R Francis-Davies
M Thomas

Councillor(s)
E J King

Also Present:
Councillor W Evans

Officer(s)
Chris Lindley
Allison Lowe
Spencer Martin
Jamie Rewbridge
Sandie Richards
Gaynor Winsor

Gower AONB Team Leader
Democratic Services Officer
Third Sector & Trust Fund Commissioning Co-ordinator
Business Partnership Unit Manager
Principal Lawyer
Accountant

Apologies for Absence

Councillor(s): M C Child, D H Hopkins, C E Lloyd and J A Raynor

48 Disclosures of Personal and Prejudicial Interests.

In accordance with the Code of Conduct adopted by the City and County of Swansea, no interests were declared.

49 Minutes:

Resolved that the Minutes of the External Funding Panel held on 7 March 2018 be approved and signed as a correct record.

50 Natural Resources Wales - Gower AONB Partnership Programme 2018-2021.

The AONB Team Leader presented a "For Information" report in relation to an offer of funding from Natural Resources Wales (NRW), for the Gower AONB Partnership Programme 2018-2021.

The proposed programme would deliver a wide range of outputs by the Strategic Planning and Natural Environment Section of Swansea Council and its partners; we have had a successful and longstanding partnership with NRW (and its predecessor bodies) for more than 25 years. The Gower AONB Partnership acts both as an

advisor to Swansea Council, and as the management body for the AONB – NRW is a part of the partnership, facilitating both management and monitoring.

The Panel asked various questions which the officer responded to accordingly.

It was noted that in relation to question 4 on page 13 of the application form that the answer should be “Yes” (rather than both yes and no).

The Chair expressed concern at the amount of match funding that was required by the City & County of Swansea given the current financial constraints. He would liaise with Senior Officers in relation to the grant funding process.

“Special” meetings of the External Funding Panel could also be convened for any urgent funding requests to be considered.

It was noted that the External Funding Panel had already provided in principle support of the drafting of an application to NRW for grant support to deliver the AONB Management Plan at its meeting of 7 March 2018.

In addition the financial implications outlined in the report were also reiterated as follows:

- 5.1 The grant uses existing revenue budgets to draw down external funding, which will enable the Teams involved to provide an enhanced service, with minimal council resources (match funding can also include staff & volunteer time and other external funding). If Council budgets are reduced, then work plans would be revised to reduce overall costs accordingly.
- 5.2 This offer of funding helps to support a small number of staff posts within the Nature Conservation Team.
- 5.3 The acceptance of the offer of funding would not increase the existing or future financial liabilities of Swansea Council.

Resolved that the contents of the report be noted but not approved.

51 Proposed All Weather Sports Facilities At Sketty Lane / Ashley Road Site.

The Cabinet Member for Investment, Regeneration & Tourism in conjunction with the Strategic Manager, Leisure, Partnerships, Health & Well Being presented a report to seek approval to submit a proposal to the Collaborative Sports Facilities Group (representing Sport Wales and the Governing Bodies of Rugby, Football and Hockey in Wales) to develop 3rd Generation (3G) artificial sports pitches and a replacement of one of the all Weather Hockey Surfaces at Sketty Lane / Ashleigh Road.

The Panel asked various questions that were responded to accordingly by the Cabinet Member for Investment, Regeneration & Tourism and the Strategic Manager, Leisure, Partnerships, Health & Well Being.

Resolved that External Funding Panel notes the implications contained in the report and approves the submission of a business case to attract up to £500,000 of funding.

The meeting ended at 2.40 pm

Chair



Report of the Director of Place/Cabinet Member for Homes & Energy

External Funding Panel – 4 July 2018

Affordable Housing Grant and Innovative Housing Programme - To Support the Delivery of 20,000 New Affordable Homes in Wales

Purpose:	To provide details of the Welsh Government Affordable Housing Grant and Innovative Housing Programme -To support the delivery of 20,000 new affordable homes in Wales
Policy Framework:	More Homes Strategy Local Housing Strategy Policy Commitments – Tackling Poverty
Consultation:	Access to Services, Finance, Legal.
Recommendation(s):	It is recommended that: 1) Approval is given to enable the Council to take up the allocation of Affordable Housing Grant (AHG) for 18/19 and 19/20, subject to the conditions set out in paragraphs 2.2 and 2.3 of this report, and that the use of the grant and approval for the development programme is to be approved via the FPR7 process. 2) Approval is given to submit a funding bid for Innovative Housing Programme (IHP2) for the Colliers Way Phase 2 scheme.
Report Author:	Carol Morgan
Finance Officer:	Jayne James
Legal Officer:	Debbie Smith
Access to Services Officer:	Rhian Miller

1. Introduction

- 1.1 The purpose of this paper is to provide the External Funding Panel with the background regarding two Welsh Government (WG) grant opportunities that will be used to support the Council's More Homes Programme.

2. Affordable Housing Grant (AHG)

- 2.1 AHG was launched in May 2018 for Local Authorities. AHG is an annual revenue grant available over 2 financial years to support up to 58% of the capital borrowing costs of the affordable housing development scheme over 28 or 29 years.
- 2.2 In order to take advantage of the AHG and utilise the full allocation the Housing Revenue Account (HRA) will need to borrow around £11M specifically to fund new build programme. The HRA is subject to a 'cap' that restricts borrowing. This cap applies to Councils with HRA stock in Wales. The current borrowing cap has already been reached to fund Welsh Housing Quality Standard (WHQS), the HRA would need an increase in the borrowing cap by this amount to take full advantage of the AHG grant.
- 2.3 In order to take up the allocation of AHG, a programme of schemes will be submitted to WG in the Council's Programme Development Plan (PDP). The schemes will fall under the More Homes Strategy and will increase the supply of affordable housing in Swansea. WG will evaluate and approve schemes and ensure they achieve value for money.

3. Innovative Housing Programme (IHP2)

- 3.1 WG have also recently announced the next round of IHP funding. IHP was introduced in 2017 by WG to support the delivery of innovative housing models in Wales, and help to inform WG about the types of homes it should be delivering in the future.
- 3.2 This funding will be available to support the extra over costs for additional innovation only. The funding does not support the wider scheme costs. The External Funding Panel has previously approved a bid for Phase 1 of this funding for the Colliers Way Passivhaus scheme and the Parc yr Helyg scheme. It is hoped that IHP2 funding can be used to support the additional innovation at Parc yr Helyg and possibly Colliers Way phase 2, if this can also be brought forward.
- 3.3 Timescales for applying for this funding are extremely short in terms of working up innovation for the schemes in order to meet WG deadlines, with completed applications due to be submitted by 12th July 2018.
- 3.4 Schemes must meet the following criteria to be considered for IHP2-
 - Schemes tendered and due to start on site in 2018/19
 - Tendered before 5th March 2019 with start on site no later than 30 April 2019.
 - March 2019 containing a start on site date no later than 30th April 2019.
 - All schemes must participate in monitoring and evaluation
- 3.4 As the innovation being proposed falls under the City Deal Homes as Power Stations (HAPS) umbrella, a consortium style approach for the city

deal regions is being proposed. It is hoped that WG will recognise the importance of the HAPS City Deal project.

4. Equality and Engagement Implications

- 4.1 The Council is subject to the Public Sector Equality Duty (Wales) and must, in the exercise of their functions, have due regard to the need to:
- Eliminate unlawful discrimination, harassment and victimisation and other conduct prohibited by the Act.
 - Advance equality of opportunity between people who share a protected characteristic and those who do not.
 - Foster good relations between people who share a protected characteristic and those who do not.

Our Equality Impact Assessment process ensures that we have paid due regard to the above.

- 4.2 An Equality Impact Assessment (EIA) screening tool confirms that a full EIA is not required.

5. Financial Implications

5.1 Innovative Housing Programme Funding

No match funding is required. Innovations can be removed from the scheme if the bid is unsuccessful. Exact costs are unknown and details of the scheme are being worked up but could be in the region of £600k.

	2018/19 £	2019/20 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:							
Capital –							
Revenue							
Grant applied for:							
Capital	600.000						
Revenue							
Deficit							

5.2 Affordable Housing Grant (AHG)

AHG is an annual revenue grant available over 2 financial years to support up to 58% of the borrowing costs of the scheme over 28 or 29 years. Swansea's allocation is below.

Year	Annual revenue payment/28 or 29 years	Capital equivalent @ 58%	Total Cost

18/19	£207,669	£3,984,853	£6,870,436
19/20	£138,446	£2,597,820	£4,479,000
	Total	£6,582,673	£11,349,346

5.3 A budget is available within the HRA to cover the initial design and preliminary stages of the scheme/s. Completion of the scheme/s as a whole is however dependant on the HRA being awarded additional borrowing capacity by the Welsh Government.

6. Legal Implications

6.1 The Housing (Wales) Act 2014 sets out the Welsh Government's vision for housing in Wales and provides the framework to assist local housing authorities in Wales to provide affordable new homes.

6.2 The Council will need to ensure that it complies with any terms and conditions attached to this Welsh Government grant funding.

6.3 The Affordable Housing Grant is being delivered under section 31 of the Local Government Act 2003. As the funding will be for housing purposes and relate to land held within the Housing Revenue Account, the Authority will be able to credit the HRA with the funding under item 4 of Part 1 of Schedule 4 to the Local Government and Housing Act 1989.

6.4 Separate legal advice will be required on title issues on a scheme by scheme basis. Furthermore, it is a pre-funding condition that the Authority will be required to provide the Welsh Government with a legal charge over the freehold or leasehold property that is subject to a scheme. Such a restriction will prevent the disposal of the property without the consent of the Welsh Government.

6.5 Separate legal advice will be required regarding procurement and state aid. Contracts over OJEU thresholds will need to comply with European Procurement Directives.

6.6 Section 106 agreements will be required between the Authority and developers stipulating conditions or obligations on development during the process.

6.7 Separate professional advice will also be needed in relation to valuations.

Background Papers: NGA1 Form, AHG guidance, IHP2 papers

Appendices:

Appendix A EFP1

Appendix B EIA Screening Form



The City & County of Swansea

EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel

Ref:

THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –

a. Awarding Body	Welsh Government
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	<p>Innovative Housing Programme --To support the delivery of 2000 new affordable homes in Wales.</p> <p>The Council is developing an affordable housing development programme as part of the More Homes Strategy.</p> <p>WG have introduced Affordable Housing Grant (AHG) for Local Authorities. Local Authorities have an allocation that is automatically awarded for 2 financial years - 18/19 and 19/20.</p> <p>The AHG is paid as revenue support against 58% of the borrowing costs over 29 years.</p> <p>The allocation for Swansea is £3,985m in 18/19 and £2,598m in 19/20 (paid as £208,000 and £138,000 pa over 29 years).</p> <p>In order to take up the allocation, a programme of schemes will be submitted in the Council's Programme Development Plan (PDP).</p> <p>Schemes under the More Homes Strategy will be submitted to the programme to increase the supply of affordable housing in Swansea.</p> <p>WG will approve schemes and ensure they achieve value for money.</p> <p>Welsh Government have also introduced a further round of Innovative Housing Programme Funding (IHP). IHP encourages innovation in design and energy, and is paid for the innovation costs only (over and above the build costs).</p> <p>The Council was awarded this funding for the Colliers Way passivhaus scheme in 17/18, and has already had panel approval to bid for a</p>

	<p>second scheme (Parc Yr Helyg) under IHP.</p> <p>It is now proposed that a further scheme is submitted under the IHP2 project. This scheme is Colliers Way Phase 2. The bid will be made under the City Deal Homes as Power Stations umbrella and will, if successful support the City Deal project and promote Swansea as a leader in low carbon construction.</p>
c. Grant value in Total £	<p>Affordable Housing Grant (AHG) It is proposed that the full allocation should be approved. No bids will be required, but Welsh Government has a rigid scheme approval process. The allocation for Swansea is £3,985m in 18/19 and £2,598m in 19/20 (paid as £208,000 and £138,000 pa over 29 years).</p> <p>Innovative Housing Programme 2 (IHP2) Welsh Government will pay up to 100% of the innovation costs for schemes. The colliers Way 2 scheme is still being progressed and the details are being finalised however the costs are likely to be in the region of 600K. If the grant is not awarded, the additional innovation can be excluded from the scheme.</p>
d. Grant period / timescale for delivery	18/19 and 19/20
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	CCS Lead Body
f. Detail links to existing schemes at Local, Regional or National level	<p>To support the Welsh Government target of the delivery of 20,000 new affordable homes in Wales.</p> <p>To support the City Deal Homes as Power Stations Project.</p>
g. Detail direct links to Council Policy, including, where appropriate, target areas	<p>More Homes Strategy</p> <p>Local Housing Strategy</p> <p>Tackling Poverty</p>
h. List key target and proposed performance measures	Delivery of More Homes Strategy.

<p>i. For EUROPEAN schemes confirm that the scheme has been developed in conjunction with the European Unit – Yes /No (<i>delete</i>)</p>	<p>(attach email confirmation from the European Unit)</p>
<p>j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i> Yes / No</p>	<p>No</p>
<p>k. Does the application require match-funding? Yes/No if it does where is this coming from?</p>	<p>Innovative Housing Programme Funding (100% innovation top up). No match funding required. Innovations can be removed from the scheme if the bid is unsuccessful. Exact costs are unknown but could be in the region of £600k.</p> <p>Affordable Housing Grant (AHG) AHG is an annual revenue grant available over 2 financial years to support up to 58% of the borrowing costs of the scheme over 28 or 29 years. A budget has been created to cover the initial design and preliminary stages of the scheme. Completion of the scheme as a whole is however dependant on the HRA being awarded additional borrowing capacity by the Welsh Government.</p>
<p>l. Will the project entail the employment of additional staff and on what basis?</p>	<p>No</p>
<p>M. Have you completed an EIA (Equality Impact Assessment) Screening Form (please attach)?</p>	<p>Yes</p>
<p>N. Is a full EIA report required?</p>	<p>No</p>

2. Please complete the following financial information:

Innovative Housing Programme Funding (100% innovation top up).

No match funding required. Innovations can be removed from the scheme if the bid is unsuccessful. Exact costs are unknown but could be in the region of £600k.

	2018/19 £	2019/20 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:							
Capital –							
Revenue							
Grant applied for:							
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Deficit							

Affordable Housing Grant (AHG)

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	Total	£6,582,673	£11,349,346

3. Please complete the following:

a. Does the funding meet the Council's priorities?	Yes see EFP report (Evidence to be attached)
b. What are the expected outcomes and are they clear and achievable? (link to 1h)	Delivery of More Homes Strategy and contribution to the City Deal Homes as Power Stations Project.
c. Is there an exit plan? (link to 1j/l)	N/A
d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)	Procurement exercise carried out for individual elements of scheme and CB&PS are main contractor. WG assess VFM as part of grant application.

e. What is the governance / management structure for the scheme? – What board/management team will it be reported to?	The projects will be overseen by the More Homes Officer/Member Steering Group with membership from The Cabinet Member for Homes and Energy and Director of Place.
f. What are the major risks and how will they be managed?	The projects will be directly funded by the HRA, however the opportunity to apply for additional grant to subsidise the scheme has arisen so there are no risks to funding the project.

4. Authorisation

	Name / Signature	Date
Responsible Officer:	Carol Morgan	8.6.18
(Principal)/Accountant:		
External Funding Panel		
Endorsed /Not Endorsed		

Equality Impact Assessment Screening Form (Appendix B)

Please ensure that you refer to the Screening Form Guidance while completing this form. If you would like further guidance please contact your directorate support officer or the Access to Services team (see guidance for details).

Section 1
Which service area and directorate are you from?
Service Area: Housing & Public Protection
Directorate: Place

Q1(a) WHAT ARE YOU SCREENING FOR RELEVANCE?

Service/ Function	Policy/ Procedure	Project	Strategy	Plan	Proposal
<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(b) Please name and describe below
 Bid for Welsh Government Affordable Housing Grant and Innovative Housing Programme to support build projects as part of the Council's 'More Council Homes Strategy'

Q2(a) WHAT DOES Q1a RELATE TO?

Direct front line service delivery	Indirect front line service delivery	Indirect back room service delivery
<input type="checkbox"/> (H)	<input checked="" type="checkbox"/> (M)	<input type="checkbox"/> (L)

(b) DO YOUR CUSTOMERS/CLIENTS ACCESS THIS...?

Because they need to	Because they want to	Because it is automatically provided to everyone in Swansea	On an internal basis i.e. Staff
<input type="checkbox"/> (H)	<input checked="" type="checkbox"/> (M)	<input type="checkbox"/> (M)	<input type="checkbox"/> (L)

Q3 WHAT IS THE POTENTIAL IMPACT ON THE FOLLOWING...

	High Impact (H)	Medium Impact (M)	Low Impact (L)	Don't know (H)
Children/young people (0-18) →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Any other age group (18+) →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Disability →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Gender reassignment →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Marriage & civil partnership →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Pregnancy and maternity →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Race →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Religion or (non-)belief →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Sex →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Sexual Orientation →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Welsh Language →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Poverty/social exclusion →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Carers (inc. young carers) →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>
Community cohesion →	<input type="checkbox"/>	<input type="checkbox"/>	x <input type="checkbox"/>	<input type="checkbox"/>

Equality Impact Assessment Screening Form (Appendix B)

Q4 HAVE YOU / WILL YOU UNDERTAKE ANY PUBLIC CONSULTATION AND ENGAGEMENT RELATING TO THE INITIATIVE?

YES NO (If NO, you need to consider whether you should be undertaking consultation and engagement – please see the guidance)

If yes, please provide details below

Public consultation carried out as part of any planning application

Q5(a) HOW VISIBLE IS THIS INITIATIVE TO THE GENERAL PUBLIC?

High visibility <input type="checkbox"/> (H)	Medium visibility x <input type="checkbox"/> (M)	Low visibility <input type="checkbox"/> (L)
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(b) WHAT IS THE POTENTIAL RISK TO THE COUNCIL'S REPUTATION?
(Consider the following impacts – legal, financial, political, media, public perception etc...)

High risk <input type="checkbox"/> (H)	Medium risk x <input type="checkbox"/> (M)	Low risk <input type="checkbox"/> (L)
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Q6 Will this initiative have an impact (however minor) on any other Council service?

Yes No **If yes, please provide details below**
May have an impact on legal services, commercial services, CB&PS, Transport, Planning and Education.

Q7 HOW DID YOU SCORE?
Please tick the relevant box

MOSTLY H and/or M → HIGH PRIORITY → EIA to be completed
Please go to Section 2

MOSTLY L → LOW PRIORITY / NOT RELEVANT → Do not complete EIA
Please go to Q8 followed by Section 2

Q8 If you determine that this initiative is not relevant for a full EIA report, you must provide adequate explanation below. In relation to the Council's commitment to the UNCRC, your explanation must demonstrate that the initiative is designed / planned in the best interests of children (0-18 years). For Welsh language, we must maximise positive and minimise adverse effects on the language and its use. Your explanation must also show this where appropriate.

The More Council Homes Strategy sets out the Council's approach for HRA investment in new affordable housing. The housing will be delivered via the strategy, and will take into account current and projected need, location and available resources. These new developments will be let in accordance with the Council's Allocations Policy, which has already been subjected to a EIA report. At this stage will be little or no impact regarding the Welsh language or commitment to the UNCRC. Page 14

Equality Impact Assessment Screening Form (Appendix B)

Section 2

NB: Please email this completed form to the Access to Services Team for agreement before obtaining approval from your Head of Service. Head of Service approval is only required via email – no electronic signatures or paper copies are needed.

Screening completed by:	
Name:	Carol Morgan
Job title:	More Homes Development Manager
Date:	8.6.18
Approval by Head of Service:	
Name:	
Position:	
Date:	

Please return the completed form to accesstoservices@swansea.gov.uk



Report of the Director of People

External Funding Panel – 4 July 2018

Overview of the Approved Strategic Outline Programme for Band B of the 21st Century Schools Programme

Purpose:	To inform the External Funding Panel of the approved Strategic Outline Programme for Band B of the 21st Century Schools Programme, and the indicative profile of spend and timescales for delivery.
Policy Framework:	Capital Budget & Programme 2017/18- 2023/24 as reported to Cabinet on the 15 February 2018 and 21 June 2018 – School Organisation linked to the Welsh Education Strategic Plan QEd Programme Corporate Priorities; <ul style="list-style-type: none">• Safeguarding people from harm• Improving education and skills• Tackling poverty• Transformation and Future Council development
Consultation:	Education, Finance, Legal Services, Corporate Building and Property Services
Recommendation(s):	It is recommended that: 1) External Funding Panel notes the content of the programme and anticipated profile of spend and timescales for delivery.
Report Authors:	Brian Roles / Louise Herbert-Evans
Finance Officer:	Ben Smith
Legal Officer:	Debbie Smith
Access to Services Officer:	Sherrill Hopkins

1.0 Introduction

- 1.1 The purpose of this paper is to provide the External Funding Panel with an overview of the Strategic Outline Programme (SOP) for Band B of the 21st Century Schools Programme submitted to Welsh Government (WG) in July 2017, as reported to Cabinet on the 20 July 2017; Quality in Education (QEd) – Emerging proposals and investment priorities for the next band of the 21st Century Schools Programme
- 1.2 The WG formally confirmed on the 7 December 2017 that the envelope for Band B of the Council's 21st Century Schools / QEd 2020 Programme was approved in principle with an estimated programme envelope cost of £149.7 million. This is subject to the approval of individual project business cases.

2.0 Investment priorities

- 2.1 The proposed capital investment priorities and funding strategy for the next band of the 21st Century Schools Programme were approved by Cabinet on the 20 July 2017 and include;

a) Transitional spending from Band A:

The delay in delivery of a new build for Gorseinon Primary School due to the village green application has resulted in an increase in the cost of the scheme and a potential need to include a small sum within Band B for retention payments.

b) Education other than at school (EOTAS) review:

New build Pupil Referral Unit to address significant condition and deficiencies in the existing accommodation, remove the current backlog maintenance, as well as rationalising the number of sites. It will also meet the basic needs of pupils.

c) Delivering commitments within the Welsh Education Strategic Plan (WESP):

Welsh-medium primary places – New build schools for YGG Tan-y-lan, and YGG Tirdeunaw (possibly co-located on the YGG Bryntawe site) to address basic need as well as condition and suitability issues, together with additional Welsh medium primary places to ease the pressure at YGG Pontybrenin in the short term, and through the Local Development Plan an opportunity to resolve the issues facing YGG Pontybrenin in the longer term.

Welsh-medium secondary places – Further enhancement and remodelling of existing accommodation at both secondary schools to meet demand until such time as a third Welsh-medium secondary school is required to be established.

d) English-medium secondary provision:

A continuing programme of replacement and remodelling works to address significant condition and suitability needs, particularly at Gowerton, Olchfa, Bishopston, and Bishop Gore.

The development of a major strategic LDP site in the Penderry area is likely to significantly impact demand in that locality although timescales are unknown at this time. Appropriate feasibility, design and other site preparatory works and site investigations will be required to facilitate the early delivery of enhanced educational provision within Band C.

e) English-medium primary provision:

A continuing programme of replacement and remodelling works to address significant condition and suitability needs, as well as supporting future sustainability, through the amalgamation of smaller neighbouring schools, prioritised to reflect the scale of investment funding available.

f) Aided Sector needs:

New build school for St Joseph's Cathedral School on an alternative site to address serious deficiencies in the current accommodation and facilities. Targeted enhancement and replacement of failing and inadequate accommodation at Bishop Vaughan, although this might need to be considered for Band C due to funding constraints. The Welsh Government would contribute 85%, with the remaining 15% representing an assumed contribution from the Diocese for these schemes to be deliverable. Delivery would be dependent on the necessary Diocesan contribution.

g) Special Schools Review:

A single enhanced new build school however, the complexity and affordability means that it would most likely be delivered towards the end of Band B, possibly with completion and occupation into Band C.

h) Wider Area Transformation:

There are likely to be changes in population and demand presented by other LDP strategic sites but this will not emerge until the sites are at least partially developed. The difficulty is to demonstrate deliverability without greater certainty regarding the timescales for such sites. Consequently, these would be priority areas within Band C.

- 2.2 These are set out in more detail in the Cabinet report which can be found at <https://democracy.swansea.gov.uk/documents/g7441/Public%20reports%20pack%20Thursday%2020-Jul-2017%2014.00%20Cabinet.pdf?T=10&LLL=0>

3.0 Progress and process

- 3.1 Each project within the programme will be subject to further approvals by WG upon receipt of an Outline Business Case (OBC) and then Full Business Case (FBC) from the Council. Many of the projects will also be

subject to a statutory consultation process, and catchment area reviews. Subject to the outcome of the above processes further reports will be brought to Cabinet seeking approval to commit each individual project to the Capital Programme before a contract is entered into with WG. These reports will include developed cost plans for each project following the further development of options appraisals and detailed design.

- 3.2 The total estimated cost of the Band B programme envelope is £141.7m (excluding the potential aided sector project which is assumed to require no Council contribution). Of this total, £91.3m is from traditional capital funding, and the remaining £50.4m is proposed to be delivered through revenue funding - Mutual Investment Model (MIM).
- 3.3 On the 6 April 2018, WG wrote to authorities, asking for additional / more detailed information with regard to;
 - profiling and prioritisation;
 - application of the cost and size standard (including rates for refurbishment); and
 - re-test for additional projects for the MIM element of the programme.
- 3.4 Whereas at the time of the SOP submission WG advised that the MIM funding criteria was that the project must be new build, WG explained in that letter that whatever the final procurement route, the MIM schemes will ultimately need to encourage market interest. Ideal facilities for MIM delivery are 100% new builds that are:
 - Secondary Schools larger than 800 places;
 - Primary schools larger than 420 places;
 - All through schools ; and
 - Further Education Colleges.
- 3.5 The latest WG view is that it is not value for money to put small or very complex schemes (such as Special Schools, PRUs and very small primary schools) through a MIM route. 'It is therefore, unfortunate that a number of schemes that have been put forward for MIM, via the SOPs, are now deemed unsuitable for delivery under this funding model'.
- 3.6 This means that two elements of the Council's SOP submission, the Special School and additional Welsh medium primary places through new build with enhanced facilities and capacity to address demand in the short term for places - possibly co-located on an existing site, would no longer be eligible.
- 3.7 The new 420 place (2 form entry (2FE)) school for St. Joseph's Cathedral Primary School would remain eligible, as could the new build for YGG Tirdeunaw. However the timescales for MIM delivery and the procurement process for the strategic partner which has not yet

commenced means that YGG Tirdeunaw could not be delivered within the proposed timescales via MIM.

- 3.8 The profile and data contained in the original Band B SOP submission, and subsequent Band B funding forecasts has therefore been reviewed to take account of the current progress, programme plan and in the case of the early projects, the individual project plans. It has also been revised to switch the MIM funding to English medium primary to enable YGG Tirdeunaw to be delivered through the traditional capital funding stream.
- 3.9 The options to substitute projects with the Special School and additional Welsh medium primary places have been explored and none are available to switch this significant amount of MIM to capital. The WG return therefore shows no change in this regard and officers will continue to press the need for this investment and for a WG resolution to the issue.

4.0 Early projects

- 4.1 Swansea Council has 6 Band B projects that are currently on track for construction phase completion and implementation by 2021;
- a) Gorseinon Primary School – completion of Band A project
 - b) Pupil Referral Unit - for which there is now an approved SOC / OBC and we anticipate submitting an FBC August 2018, with a start in site February 2019 and the new build being open to pupils in March 2020.
 - c) YGG Tan y lan – it is anticipated that the SOC / OBC will be submitted in autumn 2018, with a start on site Autumn 2019 and the new school build being open to pupils in January 2021. This is subject to a statutory consultation process which if approved by Cabinet at its meeting on the 21 June 2018*, will commence in September 2018.
 - d) YG Gwyr – it is anticipated that the SOC / OBC will be submitted in autumn 2018, with a start on site late 2019 and a phased implementation of additional capacity over 2020 and 2021. This does not require a statutory consultation.
 - e) YGG Tirdeunaw – it is anticipated that the SOC / OBC will be submitted in autumn 2018, with a start on site Autumn 2019 and the new school build being open to pupils in January 2021. This may be subject to a statutory consultation process which if approved by Cabinet at its meeting on the 21 June 2018, will commence in September 2018. The Band B proposal for YGG Tirdeunaw does not in itself require a statutory consultation, however we have submitted an application for funding through the recently announce Welsh medium capital grant for an additional 0.5 Form Entry which would then require a statutory consultation. The details of the WM Capital grant application are the subject of a separate report.

- f) Bishopston Comprehensive School – it is anticipated that the SOC / OBC will be submitted in autumn 2018, with a start on site late 2019 and construction completion in 2021. This does not require a statutory consultation.

* The report can be found at;

<https://democracy.swansea.gov.uk/documents/g7923/Public%20reports%20pack%20Thursday%2021-Jun-2018%2010.00%20Cabinet.pdf?T=10&LLL=0>

- 4.2 Pre-Construction phase costs have been approved for all of the above projects excluding YGG Tirdeunaw. Authority is being sought shortly for the pre-construction phase costs for YGG Tirdeunaw, and also some early pre-construction phase costs for YGG Bryntawe, which is intrinsically linked with YGG Tirdeunaw project, if it is to be co-located on the YGG Bryntawe site.

5.0 Equality and Engagement Implications

- 5.1 An Equality Impact Assessment (EIA) screening has been completed. Whilst there are no specific equality or engagement implications at this stage in the process, all projects that would be the result of this submission to Welsh Government would be subject to the EIA process (which incorporates the UNCRC) with the likelihood that a full EIA report would be required for each project.
- 5.2 The Council is subject to the Public Sector Equality Duty (Wales) and must, in the exercise of their functions, have due regard to the need to:
- Eliminate unlawful discrimination, harassment and victimisation and other conduct prohibited by the Act.
 - Advance equality of opportunity between people who share a protected characteristic and those who do not.
 - Foster good relations between people who share a protected characteristic and those who do not.

Our Equality Impact Assessment process ensures that we have paid due regard to the above.

- 5.3 As part of this process we will also be considering the Well-being of Future Generations Act. The Act ensures that public bodies think more about the long term, work better with people and communities and each other, look to prevent problems and take a more joined-up approach.
- 5.4 All stakeholders will be fully engaged as each project is developed through the statutory consultations that will be required.
- 5.5 Community Impact Assessments have been prepared as per the School Organisation Code in preparation for Cabinet's decision to begin

statutory consultation on the proposals for YGG Tan-y-lan and YGG Tirdeunaw.

6.0 Financial Implications

- 6.1 Welsh Government funding for Band B of the 21st Century Schools Programme is being provided through £600m capital and £500m revenue funding for the whole of Wales. The revenue funding is being provided through a Mutual Investment Model (MIM), which is a form of Private Public Partnership (PPP). The MIM unlike traditional forms of PPP includes obliging long-term provisions to secure community benefits; create apprenticeships and training places for Welsh workers and for sustainable development, in which the private partner will be required to support delivery of the Well-being of Future Generations Act. The MIM also removes 'soft' services, such as cleaning and catering, from these contracts, which led to expensive, inflexible contracts and was a real bone of contention in the old PPP model.
- 6.2 For Band B the grant rate for capital projects remains at 50%. For Voluntary Aided schools it remains at 85%, however, unlike the current arrangements, the 85% will not be subsumed within the overall 50% grant rate for a Local Council. The grant rate for projects funded through the MIM is 75%.
- 6.3 The total estimated cost of the Band B programme envelope is £141.7m (excluding the potential aided sector project which is assumed to require no Council contribution). Of this total, £91.3m is from traditional capital funding, requiring (after allowance for realisable capital and other receipts) a net local funding requirement of £38.4m. The remaining £50.4m is proposed to be delivered through revenue funding (Mutual Investment Model), which would require no local capital funding contribution but incur an annual charge once the accommodation and facilities are completed. An equivalent local share of the capital cost would be £12.6m although the 25% local contribution would apply to the annual revenue charge.
- 6.4 The indicative spend profile and funding model is attached at Appendix A. This also reflects the £250k feasibility funding for the former Daniel James site, which it is unlikely the Council will be able to be offset against a project within the programme. It also indicates the early commitment in 2018/19 of sums against the envelope for the development of the first projects due for delivery notably, new build for YGG Tan y Lan and YGG Tirdeunaw, extension and remodelling at YG Gwyr and addressing condition and suitability needs in the first phase of the condition category C secondary school projects.
- 6.5 At the time of the preparation of the Capital Budget & Programme 2017/18- 2023/24 as reported to Cabinet on the 15 February 2018 part of the EOTAS project was proposed to be brought forward into the Band A funding, and that was then offset with the slippage of the new build for Gorseinon Primary School, which was then proposed to be part funded through Band A and part funded through Band B. However Welsh

Government have now asked that the spend profile for Band A be extended to accommodate the Gorseinon slippage and be brought forward for Band B to allow for the early EOTAS spend. The indicative spend profile and funding model 2017/18 - 2024/25, at Appendix A was therefore revised to reflect this.

- 6.6 As explained in paragraph 3.2 above, the MIM funding criteria have been revised so that it only includes;
- Secondary Schools larger than 800 places;
 - Primary schools larger than 420 places;
 - All through schools ; and
 - Further Education Colleges.
- 6.7 A total of up to four potential projects were identified as potential MIM projects, the most significant of which is the Special Schools Review. The profile and data contained in the original Band B SOP submission, and subsequent Band B funding forecasts has been reviewed to switch some of the MIM funding to English medium primary to enable YGG Tirdeunaw to be delivered through the capital funding stream. No change is currently shown to the funding of the other MIM projects as we await a solution from WG as to the delivery of the Special Schools and the additional Welsh medium primary places through new build with enhanced facilities and capacity to address demand in the short term for places.
- 6.8 Cost estimates are on a current-cost basis, with no allowance for construction inflationary pressures, where there is uncertainty regarding the timing of projects. However, optimism bias has been applied to each project in accordance with the lessons learned from delivery of Band A, and based on assessment of outstanding programme risks / unknowns.
- 6.9 The approval in principle of the Strategic Outline Programme for Band B does not commit the Council to the indicative funding contributions set out above since the final approval of any capital allocation from the Welsh Government is subject to the submission of further detailed business cases in respect of each specific project. Further reports will go to Cabinet for each project within the approved programme, which will include developed cost plans for each project following the further development of options appraisals and detailed design.
- 6.10 Every opportunity will be taken to realise capital receipts and developer contributions towards the required local capital contribution, however, there will remain a significant local funding requirement which will fall to additional prudential borrowing (estimated at £38.4m). There will also potentially be a direct revenue contribution through the Council's share of the annual charge in respect of any projects delivered through the MIM.
- 6.11 Shortfalls in the realisation of capital receipts or delays in disposal will add to unsupported borrowing at least in the short term. Even if progressed as fully assumed it also raises the expectation of significant future unsupported borrowing which will need to be programmed in and

fully factored into future revenue budgets and medium term revenue planning.

7.0 Legal Implications

- 7.1 The various proposals will necessitate the legal implications to be reviewed and updated on a regular basis. Education, Highways, planning property and contracts/procurement legal advice will need to be obtained as the proposals progress and more detail is given.
- 7.2 The establishment, alteration or discontinuance of maintained schools requires consultation and the publication of statutory notices in accordance with Chapter 2 and Schedule 2, of the School Standards and Organisation (Wales) Act 2013 (“the Act”) and the Welsh Government’s School Organisation Code (Circular 006/2013) (the Code). The Code provides statutory guidance a local Council must follow when seeking to making school organisation proposals to education provision within a local Council area.
- 7.3 Catchment areas form part of the Local Authority’s (LA’s) admission arrangements. Where a Local Authority (LA) propose to revise the catchment areas for an existing school the LA must publish and consult on those proposals in the same way as any other admission arrangements. The Local Authority must have regard to Section 89 of the School Standards and Framework Act 1998, the Education (Determination of Admission Arrangements) (Wales) Regulations 2006, and the Welsh Government’s Admissions Code (Circular 005/2013). These require Admission Authorities to consult and determine school admission arrangements annually. The Regulations set a timescale for the consultation and determination of admission arrangements.
- 7.4 Any offer of grant funding from the Welsh Government will be subject to terms and conditions which will be binding upon the Local Council.

Background Papers:

School Standards and Organisation (Wales) Act 2013;
The Welsh Government’s “School Organisation Code” Circular 006/201; School Standards and Framework Act 1998, the Education (Determination of Admission Arrangements) (Wales) Regulations 2006; Welsh Government’s Admissions Code (Circular 005/2013)
Report of the Cabinet Member for Children, Education & Life Long Learning, Cabinet - 20 July 2017, Quality in Education (QEd) – Emerging proposals and investment priorities for the next band of the 21st Century Schools Programme

Appendices:

Appendix A - Indicative spend profile and funding model 2017/18 - 2024/25
Appendix B – Funding Forecast
Appendix C – EFP1

SCHOOLS PROGRAMME CAPITAL EXPENDITURE & FINANCING 2017/18 - 2023/24

	to 2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Band B	Actual spend	Forecast spend	Forecast spend	Forecast spend	Forecast spend	Forecast spend	Forecast spend	Forecast spend	Forecast spend	
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
EXPENDITURE										
21st Century Schools Programme (Band B)										
New build for Gorseinon Primary School				420	80					500
Education other than at School new premises		137	1563	9985	146					11831
Band B Schools			1000	19504	14785	13389	49111	38608	967	137364
Site feasibility	66	184								250
TOTAL EXPENDITURE	66	321	2563	29909	15011	13389	49111	38608	967	149945
FINANCED BY:										
Welsh Government funding										
Traditional capital grant funding			850	15454	6830	5370	11690	5143	184	45521
Mutual Investment Model (MIM) financing						1127	17782	18547	371	37828
Voluntary Aided Schools MIM financing						698	3142	3056	86	6983
Swansea Council funding										
Capital receipts estimate							2360	5000		7360
MIM financing					425	676	5202	6182	124	12609
Borrowing requirement	66	321	1713	14454	7505	5395	8630	143	184	38411
Voluntary Aided funding										
MIM financing					250	123	305	536	19	1232
TOTAL FINANCING	66	321	2563	29909	15011	13389	49111	38608	967	149945

Appendix B - Band B Funding Forecast

Project Name	Funding type	Self Funded Element £	Welsh Government Support £	Total £
Gorseinon Primary - Band A project part slipped to Band B - see Band A spreadsheet	Capital	£250,000	£250,000	£500,000
EOTAS - Pupil Referral Unit	Capital	£5,915,300	£5,915,300	£11,830,600
YGG Tan-y-lan	Capital	£3,081,000	£3,081,000	£6,162,000
YG Gwyr	Capital	£3,806,000	£3,806,000	£7,612,000
YG Bryn Tawe	Capital	£4,196,000	£4,196,000	£8,392,000
YGG Tirdeunaw	Capital	£5,469,000	£5,469,000	£10,938,000
Additional Welsh medium primary places through new build with enhanced facilities and capacity to address demand in the short term for places - possibly co-located on an existing site.	MIM	£1,114,750	£3,344,250	£4,459,000
English-medium secondary schools with category C condition rankings	Capital	£13,529,500	£13,529,500	£27,059,000
English-medium primary schools with category C condition rankings addressing split sites and rationalisation.	MIM	£2,643,250	£7,929,750	£10,573,000
English-medium primary schools with category C condition rankings addressing rationalisation.	Capital	£2,175,500	£2,175,500	£4,351,000
St Joseph's Cathedral School	MIM (VA)	£1,232,250	£6,982,750	£8,215,000
Crug Glas and Penybryn special schools	MIM	£8,851,250	£26,553,750	£35,405,000
New build Welsh medium primary school in the Loughor / Kingsbridge area for YGG Pontybrenin.	Capital	£5,134,000	£5,134,000	£10,268,000
Remodelled English medium primary school in the Loughor / Kingsbridge area to address demand for places and in consideration of anticipated developments in the locality.	Capital	£1,965,000	£1,965,000	£3,930,000
		£59,362,800	£90,331,800	£149,694,600
		39.66%	60.34%	



The City & County of Swansea

NGA1 (v14) Notification / Approval for grant applications

THE NGA1 APPLIES EQUALLY TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. This is to request approval from the Head of Finance and Delivery: S151 Officer to apply for the following grant

Awarding Body	Welsh Government (WG)									
Scheme name and purpose	The Council's 21 st Century Schools Strategic Outline Programme and the proposed capital investment priorities and funding strategy for the next Band of the 21 st Century Schools Programme.									
Amount of grant £	<table border="1"> <thead> <tr> <th>Self Funded Element £</th> <th>Welsh Government Support £</th> <th>Total £</th> </tr> </thead> <tbody> <tr> <td>£59,362,800</td> <td>£90,331,800</td> <td>£149,694,600</td> </tr> <tr> <td>39.66%</td> <td>60.34%</td> <td></td> </tr> </tbody> </table> <p>The total estimated cost of the Band B programme envelope is £141.7m (excluding the potential aided sector project which is assumed to require no Council contribution). Of this total, £91.3m is from traditional capital funding, requiring (after allowance for realisable capital and other receipts) a net local funding requirement of £38.4m. The remaining £50.4m is proposed to be delivered through revenue funding (Mutual Investment Model), which would require no local capital funding contribution but incur an annual charge once the accommodation and facilities are completed. An equivalent local share of the capital cost would be £12.6m although the 25% local contribution would apply to the annual revenue charge.</p>	Self Funded Element £	Welsh Government Support £	Total £	£59,362,800	£90,331,800	£149,694,600	39.66%	60.34%	
Self Funded Element £	Welsh Government Support £	Total £								
£59,362,800	£90,331,800	£149,694,600								
39.66%	60.34%									
Period grant is being sought	This is not certain at this stage. At the time of the submission, the period was 2019/20-2023/24. The most recent data return to WG was based on period of 2018/19-2025/26.									

Ward Member notification -

The body is unable to access funding without our specific assistance	
Agreement has been obtained from the third party that the grant is subject to the internal processes of CCS	
The Director undertakes the responsibilities set out in the Grant AI 16 paragraph 4.6	

3. The nominated officer confirms that this grant **will / will-not** (*select*) require current or future financial contribution from the Council.
4. The nominated officer confirms that this grant **does / does-not** (*select*) require a continuation of funding by the Council after the grant period has expired.
5. Does the scheme involve building, improving or buying a property? **Yes/No** (*select*) If Yes attach details of Corporate Property's Involvement & approval

CB&PS are representative on the QEd Programme Board, QEd Delivery Group, and Project Teams

6. Have all IT and Legal issues been identified and reported to/discussed with the relevant IT and Legal officers. **Yes/No** – (*select*) *Please attach confirmation*

See report to Cabinet 20/07/2017. These will be subject to more detail on a project by project basis and reported in FPR7 reports.

7. Is any match funding required - **Yes/No** (Select and list if appropriate)

Self Funded Element £	Welsh Government Support £	Total £
£59,362,800	£90,331,800	£149,694,600
39.66%	60.34%	

The total estimated cost of the Band B programme envelope is £141.7m (excluding the potential aided sector project which is assumed to require no Council contribution). Of this total, £91.3m is from traditional capital funding, requiring (after allowance for realisable capital and other receipts) a net local funding requirement of £38.4m. The remaining £50.4m is proposed to be delivered through revenue funding (Mutual Investment Model), which would require no local capital funding contribution but incur an annual charge once the accommodation and facilities are completed. An equivalent local share of the capital cost would be £12.6m although the 25% local contribution would apply to the annual revenue charge.

9. Please complete the following financial information:

Due to the complexity of the funding arrangements the following table is used. £50.4m is proposed to be delivered through revenue funding (Mutual Investment Model), which would require no local capital funding contribution but incur an annual charge once the accommodation and facilities are completed. An equivalent local share of the capital cost would be £12.6m although the 25% local contribution would apply to the annual revenue charge.

SCHOOLS PROGRAMME CAPITAL EXPENDITURE & FINANCING 2017/18 - 2023/24

	to									
	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22	2022/23	2023/24	2024/25	Total
Band B	Actual spend	Forecast spend	Forecast spend	Forecast spend	Forecast spend	Forecast spend	Forecast spend	Forecast spend	Forecast spend	
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
EXPENDITURE										
21st Century Schools Programme (Band B)										
New build for Gorseinon Primary School				420	80					500
Education other than at School new premises		137	1563	9985	146					11831
Band B Schools			1000	19504	14785	13389	49111	38608	967	137364
Site feasibility	66	184								250
TOTAL EXPENDITURE	66	321	2563	29909	15011	13389	49111	38608	967	149945
FINANCED BY:										
Welsh Government funding										
Traditional capital grant funding			850	15454	6830	5370	11690	5143	184	45521
Mutual Investment Model (MIM) financing						1127	17782	18547	371	37828
Voluntary Aided Schools MIM financing						698	3142	3056	86	6983

10. How will the deficit be funded?

Existing Revenue budget Code: £50.4m is proposed to be delivered through revenue funding (Mutual Investment Model), which would require no local capital funding contribution but incur an annual charge once the accommodation and facilities are completed. An equivalent local share of the capital cost would be £12.6m although the 25% local contribution would apply to the annual revenue charge. Codes as provided on FPR7 approvals.

Existing Capital budget Code: Capital codes as provided on FPR7 approvals

Revenue Reserves Code: n/a

Other:

11. Will any savings occur as a result of implementing the project?

The proposals include explicit assumptions in relation to capital receipts generally and also the potential impact of the LDP. The specific savings will be assessed on a project basis and reported in FPR7 reports.

Yes/No (*select*)

If Yes please detail below:

	Current year £	Year 2 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Annual savings							
1.							
2.							

12. Will any further costs be incurred in addition to those relating directly to the project? (E.g. ongoing maintenance or possible future redundancy costs on completion of the grant?) Yes/No

There will be future maintenance costs will be assessed on a project basis and reported in FPR7 reports.

There will be an overall reduction in backlog maintenance, and buildings should be more energy efficient than existing premises.

An appropriate maintenance budget should be set by schools and the EOTAS.

There is likely to be an increase in NNDR for replacement and refurbished buildings.

13. If there are No additional costs, please explain the reasons why e.g. no future staff costs, one-off event, no asset to maintain etc Yes/No (select)

These will be assessed on a project basis and reported in FPR7 reports.



Report of the Director of People

External Funding Panel – 4 July 2018

Increasing Welsh-medium Provision – Capital Grant Application

Purpose:	To inform the External Funding Panel of the Increasing Welsh-medium Provision – Capital Grant Application and the indicative profile of spend and timescales for delivery.
Policy Framework:	Capital Budget & Programme 2017/18- 2023/24 as reported to Cabinet on the 15 February 2018 and on 21 June 2018 - School Organisation linked to the Welsh Education Strategic Plan QEd Programme Corporate Priorities; <ul style="list-style-type: none">• Safeguarding people from harm• Improving education and skills• Tackling poverty• Transformation and Future Council development
Consultation:	Education, Finance, Legal Services, Corporate Building and Property Services
Recommendation(s):	It is recommended that: 1) External Funding Panel notes the content of the application and anticipated profile of spend and timescales for delivery.
Report Authors:	Brian Roles / Louise Herbert-Evans
Finance Officer:	Ben Smith
Legal Officer:	Debbie Smith
Access to Services Officer:	Sherrill Hopkins

1.0 Introduction

- 1.1 The purpose of this paper is to provide the External Funding Panel with an overview of the Increasing Welsh-medium Provision – Capital Grant Application to Welsh Government (WG) and the indicative profile of spend and timescales for delivery.
- 1.2 The WG have allocated £30 million capital funding nationally for which applications had to be submitted by the 1 June 2018.
- 1.3 WG advised that the funding will be allocated at a 100% rate, in recognition of the fact that local authorities will have already allocated all of their available funding towards their existing financial envelopes for Band B of the 21st Century Programme. WG advised that as it is keen to secure rapid and meaningful growth in the Welsh-medium sector, it is providing the investment to provide a boost for developments that may not otherwise have been possible.
- 1.4 The grant funding will support capital investments that facilitate growth in Welsh-medium education and use of the Welsh language. The grant funding is aimed specifically at capital projects that will demonstrably contribute to meeting the WG's aim of one million Welsh speakers by 2050. This might include projects which:
 - Provide additional Welsh-medium provision;
 - Move schools along the linguistic continuum;
 - Help to attract pupils to the Welsh-medium sector e.g. Welsh-medium childcare or nursery provision;
 - Improve transition rates within Welsh-medium sector e.g. Between pre-school facilities and Foundation Phase provision;
 - Support retention or sustainability of Welsh-medium provision e.g. Latecomer centres/Welsh language immersion;
 - Provide infrastructure support such as videoconferencing facilities or other technologies.
- 1.5 The funding will not be used to support projects already planned for Band B of the 21st Century Schools and Education programme. The proposals will therefore need to be new developments (or extensions/additions to existing proposals) which will be in addition to the local authority's SOP.
- 1.6 The WG key criterion for assessing, and if necessary, prioritising projects will be the contribution it will make to the 2050 target in terms of numbers of Welsh speakers. Within this WG will consider relevant factors such as:
 - Schools within a 10% capacity threshold or nearing their capacity;
 - Significant levels of unmet demand for Welsh-medium provision as demonstrated through the education assessment;
 - Significant gaps in Welsh-medium childcare as demonstrated through the CSA;
 - Potential for growing the Welsh-medium sector in the area affected;

- Rationale as to why the proposal was not included in the LAs Band B project list.

2.0 Investment priorities

2.1 Swansea Council's Band B SOP proposes to;

- Increase the number of places (by between 750 and 850) with the relocation of YGG Tan-y-lan (at 315 places plus nursery) to a permanent and larger site, the re-location of YGG Tirdeunaw (at 420 places plus nursery) in new build facilities on the YG Bryn Tawe site and enhanced places to serve the demands in the wider Gorseinon area
- Further increase the number of secondary places to ensure sufficient capacity for the numbers transferring from the primary sector
- Re-balance the availability and need for places across the County through a review of catchments
- Address unsuitable accommodation and facilities at existing Welsh-medium primary and secondary schools

2.2 The Band B proposals aim to meet existing and projected demand based on current trends and projections over the next 5 years.

2.3 The Welsh-medium Capital Grant application proposals aim to complement the above Band B priorities through;

- opportunities for 'seed' classes through pre-school provision to further attract pupils to the Welsh-medium sector and aide transition
- maximising on the opportunity to build capacity into the Welsh-medium primary sector at an earlier stage, to provide 'headroom' to facilitate further growth in demand for places, and efficiencies in the overall costs.
- bringing forward proposals to relocate **YGG Tirdeunaw and adding up to a further 105 places**
- **adding up to a further 105 places to YGG Tan-y-lan**
- in consideration of the proposed catchment area reviews, addressing the other short term pressures through the provision of additional capacity at;
 - **YGG Bryn-y-môr (up to 60 places)**
 - **YGG Y Login Fach (up to 60 places)**

2.4 The above proposals were not included in the Band B SOP as this was underpinned by Welsh Education Strategic Plan (WESP) targets arrived at in consideration of existing and projected pupil numbers. An overview of the Band B SOP submission can be found in the report to Cabinet of the 20 July 2017 at;

<https://democracy.swansea.gov.uk/documents/g7441/Public%20reports%20ack%20Thursday%20-Jul-2017%2014.00%20Cabinet.pdf?T=10&LLL=0>

- 2.5 The report to Cabinet of the 21 June 2018 paper sets out the next steps required to progress the approved commitments within the Welsh Education Strategic Plan (WESP), as reflected in the approved 21st Century Schools Band B Programme, and where appropriate approve the commencement of formal statutory consultation.
- 2.6 This can be found at;
<https://democracy.swansea.gov.uk/documents/g7923/Public%20reports%20pack%20Thursday%2021-Jun-2018%2010.00%20Cabinet.pdf?T=10&LLL=0>

3.0 Progress and process

- 3.1 Each project within the Band B programme including YGG Tan-y-lan and YGG Tirdeunaw will be subject to further approvals by WG upon receipt of an Outline Business Case (OBC) and then Full Business Case (FBC) from the Council.
- 3.2 The relocation and enhancement of capacity at YGG Tan-y-lan (based on either 1.5FE or 2FE) and YGG Tirdeunaw (only if this bid is approved to take the school to 2.5FE) would require a statutory consultation, and both would be subject to catchment area reviews.
- 3.3 The potential investment at YGG Y Login Fach would allow an increase in the capacity of the school by up to 60 places but this would be phased as appropriate to reflect the actual growth in demand for places. As such it is not envisaged that this will require a statutory process. As with all cases, it is also proposed that in the short to medium term the additional space will support pre-school provision and in this instance wrap around care.
- 3.4 The potential investment at YGG Bryn-y-môr would not require a statutory consultation.
- 3.5 Subject to the outcome of the above processes further reports will be brought to Cabinet as appropriate seeking approval to commit each individual project to the Capital Programme before a contract is entered into with WG. These reports will include developed cost plans for each project following the further development of options appraisals and detailed design.
- 3.6 Subject to the outcome of the statutory consultation process, planning approval, and the approval by WG of the grant applications and business cases as appropriate the implementation timeline is as follows:
- Relocation and enlargement of YGG Tan-y-lan in January 2021
 - Relocation and enlargement of YGG Tirdeunaw in January 2021
 - Enlargement of YGG Bryn-y-môr and YGG Y Login Fach in September 2020.

4.0 Equality and Engagement Implications

- 4.1 An Equality Impact Assessment (EIA) screening has been completed. Whilst there are no specific equality or engagement implications at this stage in the process, all projects that would be the result of this submission to Welsh Government would be subject to the EIA process (which incorporates the UNCRC) with the likelihood that a full EIA report would be required for each project.
- 4.2 The Council is subject to the Public Sector Equality Duty (Wales) and must, in the exercise of their functions, have due regard to the need to:
- Eliminate unlawful discrimination, harassment and victimisation and other conduct prohibited by the Act.
 - Advance equality of opportunity between people who share a protected characteristic and those who do not.
 - Foster good relations between people who share a protected characteristic and those who do not.

Our Equality Impact Assessment process ensures that we have paid due regard to the above.

- 4.3 As part of this process we will also be considering the Well-being of Future Generations Act. The Act ensures that public bodies think more about the long term, work better with people and communities and each other, look to prevent problems and take a more joined-up approach.
- 4.4 All stakeholders will be fully engaged as each project is developed through the statutory consultations that will be required.
- 4.5 Community Impact Assessments have been prepared as per the School Organisation Code in preparation for Cabinet's decision to begin statutory consultation on the proposals for YGG Tan-y-lan and YGG Tirdeunaw.

5.0 Financial Implications

Capital

- 5.1 The total scheme cost of YGG Tan-y-lan is £8,262,000, of which £6,162,000 is part of the Band B proposals (as outlined in the programme for Band B report to EFP 4 July 2018) and £2,100,000 is part of the grant application which if approved would be 100% funded by WG.
- 5.2 The total scheme cost of YGG Tirdeunaw is £12,138,000, of which £10,938,000 is part of the Band B proposals (as outlined in the programme for Band B report to EFP 4 July 2018) and £2,100,000 is part of the grant application which if approved would be 100% funded by WG.
- 5.3 The total scheme cost of YGG Bryn-y-mor is £975,000, included in the grant application which if approved would be 100% funded by WG.

- 5.4 The total scheme cost of YGG Login Fach is £575,000, included in the grant application which if approved would be 100% funded by WG.
- 5.5 Cost estimates are on a current-cost basis, with no allowance for construction inflationary pressures, where there is uncertainty regarding the timing of projects. However, optimism bias has been applied to each project in accordance with the lessons learned from delivery of Band A, and based on assessment of outstanding programme risks / unknowns.
- 5.6 Pre-Construction phase costs have been approved for YGG Tan-y-lan. Authority is being sought shortly for the pre-construction phase costs for YGG Tirdeunaw, and also some early pre-construction phase costs for YGG Bryntawe, which is intrinsically linked with YGG Tirdeunaw project, if it is to be co-located on the YGG Bryntawe site.

Revenue

- 5.7 Schools are funded from an overall delegated budget – the Individual Schools Budget (ISB). There is a funding formula that allocates a budget share to each individual school from the ISB.

6.0 Legal Implications

- 6.1 The various proposals will necessitate the legal implications to be reviewed and updated on a regular basis. Education, Highways, planning property and contracts/procurement legal advice will need to be obtained as the proposals progress and more detail is given.
- 6.2 The establishment, alteration or discontinuance of maintained schools requires consultation and the publication of statutory notices in accordance with Chapter 2 and Schedule 2, of the School Standards and Organisation (Wales) Act 2013 (“the Act”) and the Welsh Government’s School Organisation Code (Circular 006/2013) (the Code). The Code provides statutory guidance a local Council must follow when seeking to making school organisation proposals to education provision within a local Council area.
- 6.3 Catchment areas form part of the Local Authority’s (LA’s) admission arrangements. Where a Local Authority (LA) propose to revise the catchment areas for an existing school the LA must publish and consult on those proposals in the same way as any other admission arrangements. The Local Authority must have regard to Section 89 of the School Standards and Framework Act 1998, the Education (Determination of Admission Arrangements) (Wales) Regulations 2006, and the Welsh Government’s Admissions Code (Circular 005/2013). These require Admission Authorities to consult and determine school admission arrangements annually. The Regulations set a timescale for the consultation and determination of admission arrangements.

6.4 Any offer of grant funding from the Welsh Government will be subject to terms and conditions which will be binding upon the Local Council.

Background Papers:

School Standards and Organisation (Wales) Act 2013;
The Welsh Government's "School Organisation Code" Circular 006/201; School Standards and Framework Act 1998, the Education (Determination of Admission Arrangements) (Wales) Regulations 2006; Welsh Government's Admissions Code (Circular 005/2013)
Report of the Cabinet Member for Children, Education & Life Long Learning, Cabinet - 20 July 2017, Quality in Education (QEd) – Emerging proposals and investment priorities for the next band of the 21st Century Schools Programme

Appendices:

Appendix A - Indicative funding model for the Welsh-medium schemes included within this report:
Appendix B – Indicative spend profile for the Welsh-medium schemes (elements thereof) subject to the Welsh-medium grant application.
Appendix C – NGA1
Appendix D – EFP1

Appendix A - Indicative funding model for the Welsh-medium schemes

School Name	Total scheme cost	WM grant application 100% funding	Band B funding 50% WG and 50% LA
YGG Tan y lan	£8,262,000	£2,100,000	£6,162,000
YGG Tirdeunaw	£12,138,000	£1,200,000	£10,938,000
YGG Bryn-y-mor	£975,000	£975,000	
YGG Login Fach	£575,000	£575,000	
Totals	£21,950,000	£4,850,000	£17,100,000

Appendix B - Indicative spend profile for the Welsh-medium schemes (elements thereof) subject to the Welsh-medium grant application.

<u>CAPITAL COSTS</u>	2018/19	2019/20	2020/21	2021/22	TOTAL
<u>Expenditure</u>					
YGG Bryn-y-mor		963,000	12,000		975,000
YGG Login Fach		567,500	7,500		575,000
YGG Tan-y-lan		1,035,000	1,035,000	30,000	2,100,000
YGG Tirdeunaw		591,000	591,000	18,000	1,200,000
EXPENDITURE	0	3,156,500	1,645,500	48,000	4,850,000
<u>Financing</u>					
To be funded 100% funded by Welsh Government (subject to grant application approval)					
FINANCING	0	3,156,500	1,645,500	48,000	4,850,000



The City & County of Swansea

NGA1 (v14) Notification / Approval for grant applications

THE NGA1 APPLIES EQUALLY TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. This is to request approval from the Head of Finance and Delivery:S151 Officer to apply for the following grant

Awarding Body	Welsh Government (WG)
Scheme name and purpose	Increasing Welsh-medium Provision – Capital Grant Application
Amount of grant £	£4.85m
Period grant is being sought	2019/20-2021-22

Ward Member notification -

When the grant award is £10,000 or over, please attach a copy of the email to the relevant ward members notifying them of the scheme. Where the ward has not been determined notify the Cabinet Member instead.

Cabinet Approval -

When the grant award is £75,000 or over or is part of a larger scheme where total grants are over £75,000 then please complete the points below.

Is the grant in a Target Area (see point 15)? Yes/No if Yes – which area?	
I confirm the grant application conforms to the following Council Policy and Priority –	<p>Corporate Priorities;</p> <ul style="list-style-type: none"> • Safeguarding people from harm • Improving education and skills • Tackling poverty • Transformation and Future Council development <p>WG’s and the Council’s 21st Century Schools Strategic Outline Programme – including Band A. QEd Programme Welsh Education Strategic Plan</p>

The relevant Cabinet Member has signed the application on page 3 OR provided an email showing their approval of both the application and the NGA1.	See report to Cabinet 21 June 2018
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External Funding Panel Approval –

Where the grant is £75,000 or over has the External Funding Panel approved the submission to the funder – Yes/No –If YES, please note the date of the Panel decision and attach the EFP1 form and report. IF NO -please explain why not.

Due to go to EFP 4 July 2018. Grant application timescales did not allow an earlier submission.

2. Is CCS acting as a Grant Recipient Body (see AI16 for definition of a GRB) for an external organisation: **Yes/No (select)**. If **Yes** confirm the following:

The Director has given specific written approval for this application (attach confirmation)	
The body is unable to access funding without our specific assistance	
Agreement has been obtained from the third party that the grant is subject to the internal processes of CCS	
The Director undertakes the responsibilities set out in the Grant AI 16 paragraph 4.6	

3. The nominated officer confirms that this grant **will/ will not (select)** require current or future financial contribution from the Council.
4. The nominated officer confirms that this grant **does / does not (select)** require a continuation of funding by the Council after the grant period has expired.
5. Does the scheme involve building, improving or buying a property? **Yes/No (select)** If Yes attach details of Corporate Property's Involvement & approval

CB&PS are representative on the QEd Programme Board, QEd Delivery Group, and Project Teams

6. Have all IT and Legal issues been identified and reported to/discussed with the relevant IT and Legal officers. **Yes/No – (select) Please attach confirmation**

See report to Cabinet 21/06/2018. These will be subject to more detail on a project by project basis and reported in FPR7 reports.

7. Is any match funding required - **Yes/No (Select and list if appropriate)**

8. Is the match funding in place –**Yes/No**–(Select and list if appropriate)

9. Please complete the following financial information:

Indicative spend profile for the Welsh-medium schemes (elements thereof) subject to the Welsh-medium grant application.

<u>CAPITAL COSTS</u>	2018/19	2019/20	2020/21	2021/22	TOTAL
<u>Expenditure</u>					
YGG Bryn-y-mor		963,000	12,000		975,000
YGG Llogyn Fach		567,500	7,500		575,000
YGG Tan-y-lan		1,035,000	1,035,000	30,000	2,100,000
YGG Tirdeunaw		591,000	591,000	18,000	1,200,000
EXPENDITURE	0	3,156,500	1,645,500	48,000	4,850,000
<u>Financing</u>					
To be funded 100% funded by Welsh Government (subject to grant application approval)					
FINANCING	0	3,156,500	1,645,500	48,000	4,850,000

	Current financial year £	Year 2 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:	0	0	0	0	0	0	0
Capital	0	3,156,500	1,645,500	48,000		4,850,000	0
Revenue	0	0	0	0	0	0	0
Grant applied for:	0	0	0	0	0	0	0
Capital	0	3,156,500	1,645,500	48,000		4,850,000	0
Revenue	0						0
Match Funding Internal	0	0	0	0	0	0	0
Match Funding External	0	3,156,500	1,645,500	48,000		4,850,000	0

10. How will the deficit be funded?

Existing Revenue budget	Code: Existing budgets.
Existing Capital budget	Code: Capital codes as provided on FPR7 approvals
Revenue Reserves	Code: n/a
Other:	

11. Will any savings occur as a result of implementing the project?

Yes/No (select)

If Yes please detail below:

	Current year £	Year 2 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Annual savings							
1.							
2.							

12. Will any further costs be incurred in addition to those relating directly to the project? (E.g. ongoing maintenance or possible future redundancy costs on completion of the grant?) Yes/No

There will be future maintenance costs will be assessed on a project basis and reported in FPR7 reports.

An appropriate maintenance budget should be set by schools.

There is likely to be an increase in NNDR.

13. If there are No additional costs, please explain the reasons why e.g. no future staff costs, one-off event, no asset to maintain etc Yes/No (select)

These will be assessed on a project basis and reported in FPR7 reports.

If Yes please detail below:

	Current year £	Year 2 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Additional costs							
1.							
2.							
3.							

14 Authorisation

Signature approvals	Approval	Signature/email	Date
Cabinet Member <i>(for schemes £75,000 or over)</i>	I approve this application; where appropriate I have consulted and have the approval of the relevant Ward Member(s).		
Nominated Officer (name)	I confirm this application conforms to all internal procedures including current spending restrictions.		
Responsible Officer - Head of Service / Director (name)	I confirm this application conforms to all internal procedures including current spending restrictions.		
Accountant (name)	I have examined the financial implications of this scheme and am satisfied that adequate resources exist within current budgets to meet funding/ongoing contributions.		
Section 151 Officer or nominated deputy	Approved / Disapproved		

15. ATTACH BID / GRANT APPLICATION FORM WITH THIS FORM



The City & County of Swansea

EFP1 PRE-SUBMISSION - Notification of Funding Bid to External Funding Panel

Ref:

THE EFP1 APPLIES TO HARD COPY AND ELECTRONIC SUBMISSIONS

1. Scheme background and details - this is to inform the External Funding Panel of the intention to apply for a new grant proposal –

a. Awarding Body	Welsh Government (WG)
b. Name and purpose of Scheme/Programme (attach proposal brief in cabinet style report)	Increasing Welsh-medium Provision – Capital Grant Application
c. Grant value in Total £	£4.85m
d. Grant period / timescale for delivery	2019/20-2021-22
e. CCS acting as Lead Body or Joint Sponsor? Name other Partners.	Yes. Welsh Government.
f. Detail links to existing schemes at Local, Regional or National level	WG's and the Council's 21 st Century Schools Strategic Outline Programme – including Band A.
g. Detail direct links to Council Policy, including, where appropriate, target areas	Corporate Priorities; <ul style="list-style-type: none"> • Safeguarding people from harm • Improving education and skills • Tackling poverty • Transformation and Future Council development WG's and the Council's 21 st Century Schools Strategic Outline Programme – including Band A. QEd Programme Welsh Education Strategic Plan
h. List key target and proposed performance measures	<ul style="list-style-type: none"> • Provision of 'seed' classes through pre-school provision to further attract pupils to the Welsh-medium sector and aide transition • bringing forward proposals to relocate YGG Tirdeunaw and adding up to a further 105 places • adding up to a further 105 places to YGG Tan-y-lan • in consideration of the proposed catchment area reviews, addressing the other short term pressures through the provision of additional capacity at;

	<ul style="list-style-type: none"> ○ YGG Bryn-y-môr (up to 60 places) ○ YGG Y Login Fach (up to 60 places)
<p>i. For EUROPEAN schemes confirm that the scheme has been developed in conjunction with the European Unit – Yes /No <i>(delete)</i></p>	<p><i>(attach email confirmation from the European Unit)</i> N/A</p>
<p>j. Does this grant require a continuation of funding by the Council after the grant period has expired? <i>This includes current or additional staff costs.</i> Yes / No</p>	<p>Yes – through continuation of existing revenue budgets - continued maintenance of buildings and likely increase in NNDR. Schools are funded from an overall delegated budget – the Individual Schools Budget (ISB).</p>
<p>k. Does the application require match-funding? Yes/No if it does where is this coming from?</p>	<p>No</p>
<p>l. Will the project entail the employment of additional staff and on what basis?</p>	<p>No</p>
<p>M. Have you completed an EIA (Equality Impact Assessment) Screening Form (please attach)?</p>	<p>Yes. An Equality Impact Assessment (EIA) screening has been completed.</p>
<p>N. Is a full EIA report required?</p>	<p>Whilst there are no specific equality or engagement implications at this stage in the process, all projects that would be the result of this submission to Welsh Government would be subject to the EIA process (which incorporates the UNCRC) with the likelihood that a full EIA report would be required for each project.</p>

2. Please complete the following financial information:

Indicative spend profile for the Welsh-medium schemes (elements thereof) subject to the Welsh-medium grant application.

Schools are funded from an overall delegated budget – the Individual Schools

<u>CAPITAL COSTS</u>	2018/19	2019/20	2020/21	2021/22	TOTAL
<u>Expenditure</u>					
YGG Bryn-y-mor		963,000	12,000		975,000
YGG Login Fach		567,500	7,500		575,000
YGG Tan-y-lan		1,035,000	1,035,000	30,000	2,100,000
YGG Tirdeunaw		591,000	591,000	18,000	1,200,000
EXPENDITURE	0	3,156,500	1,645,500	48,000	4,850,000
<u>Financing</u>					
To be funded 100% funded by Welsh Government (subject to grant application approval)					
FINANCING	0	3,156,500	1,645,500	48,000	4,850,000

Budget (ISB). There is a funding formula that allocates a budget share to each individual school from the ISB. **There will be future maintenance costs** which will be assessed on a project basis and reported in FPR7 reports. An appropriate maintenance budget should be set by schools. There is may be an increase in NNDR for new buildings.

	Current financial year £	Year 2 £	Year 3 £	Year 4 £	Year 5 £	Total £	Ongoing £
Total project cost:	0	0	0	0	0	0	0
Capital	0	3,156,500	1,645,500	48,000		4,850,000	0
Revenue	0	0	0	0	0	0	0
Grant applied for:	0	0	0	0	0	0	0
Capital	0	3,156,500	1,645,500	48,000		4,850,000	0
Revenue	0						0
Match Funding Internal	0	0	0	0	0	0	0
Match Funding External	0	3,156,500	1,645,500	48,000		4,850,000	0

3. Please complete the following:

<p>a. Does the funding meet the Council's priorities?</p>	<p>Yes/No</p> <p>Detailed in the report to Cabinet of the 20 July 2017 and the Council's 21st Century Schools Strategic Outline Programme (SOP), and the report to Cabinet of the 21 June 2018 which sets out the next steps required to progress the approved commitments within the Welsh Education Strategic Plan (WESP), as reflected in the approved 21st Century Schools Band B Programme, and where appropriate approve the commencement of formal statutory consultation.</p>
<p>b. What are the expected outcomes and are they clear and achievable? (link to 1h)</p>	<p>Yes/No</p> <ul style="list-style-type: none"> • Provision of 'seed' classes through pre-school provision to further attract pupils to the Welsh-medium sector and aide transition • bringing forward proposals to relocate YGG Tirdeunaw and adding up to a further 105 places • adding up to a further 105 places to YGG Tan-y-lan • in consideration of the proposed catchment area reviews, addressing the other short term pressures through the provision of additional capacity at; <ul style="list-style-type: none"> ○ YGG Bryn-y-môr (up to 60 places) ○ YGG Y Login Fach (up to 60 places)
<p>c. Is there an exit plan? (link to 1j/l)</p>	<p>Yes/No</p> <p>For the projects linked to Band B the indicative funding contributions are subject to the final approval of WG through the submission of further detailed business cases in respect of each specific project. Further reports will come to Cabinet as appropriate for each project within the approved programme, which will include developed cost plans for each project following the further development of options appraisals and detailed design.</p>

<p>d. How is Value for Money being obtained? (procurement/ third party arrangements etc.)</p>	<p>A regional collaborative procurement process to cover South Wales Local Authorities has been developed, (the South West Wales Regional Contractors Framework (SWWRCF)) to engender a joined up approach to procurement and to ensure consistency and minimise duplication and inefficiencies throughout the process.</p> <p>For Band A, where the project design has been more complex in nature or greater in scale, the Council has taken a two-stage approach with the opportunity for early contractor involvement (ECI). This has assisted in relation to buildability advice and the continued client interface until certainty of cost and programme is achieved, while reducing the overall design and construction programme.</p> <p>The success of this approach is dependent on ensuring that the quality of the information provided to the contractor is robust, including carrying out as much survey work upfront to de-risk the design process to provide greater certainty on cost, quality, programme and transfer of risk. For YGG Tan-y-lan and YGG Tirdeunaw we propose to seek to de-risk projects further. To protect the Council's position in relation to the design, the design team will take the project through to planning application stage (RIBA (Royal Institute of British Architecture) Stage 3), and then the contractor will develop the design from there on.</p> <p>The two smaller projects are proposed to be delivered by the Council's internal contractor via a single tender approach, as previously been approved by our external auditors, and fulfilling stipulated grant criteria. We will continue to ensure best value throughout the process.</p>
<p>e. What is the governance / management structure for the scheme? – What board/management team will it be reported to?</p>	<p>QEd Programme Governance; QEd Delivery Group – QEd Programme Board – Senior Leads Board – CMT - Cabinet</p>

<p>f. What are the major risks and how will they be managed?</p>	<p>Risks – see QEd Programme Board Reports for full list of escalated risks.</p> <ul style="list-style-type: none"> • In spite of proactive stakeholder engagement, issues can arise in relation to the detailed delivery of specific projects • Unforeseen costs arising; this risk has been mitigated as far as practical at this stage through the application of cost plans consistent with WG cost benchmarking and optimism bias based on Building Bulletin standards <p>These will be managed through:</p> <ul style="list-style-type: none"> • Maintaining a consistent and coherent long term strategy • Robust options appraisals and objective assessment of priority order / phasing of works • Early statutory consultation processes • At risk feasibility and design works, drawing down local match contributions
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4. Authorisation

	Name / Signature	Date
Responsible Officer:	Brian Roles	25/06/18
(Principal)/Accountant:		
External Funding Panel Endorsed /Not Endorsed		



Report of the Director of Place / Cabinet Member for Homes & Energy

External Funding Panel – 4 July 2018

Proposal to Submit a Bid to the Welsh Government to Fund a New Gypsy and Traveller Site

Purpose:	To seek approval to submit a bid for grant funding to the Welsh Government to construct a new Gypsy and Traveller site.
Policy Framework:	Local Housing Strategy 2015 - 2020
Consultation:	Access to Services, Finance, Legal.
Recommendation(s):	It is recommended that: 1) A bid for Capital Grant funding is submitted to the Welsh Government
Report Author:	Peter Williams
Finance Officer:	Aimee Dyer
Legal Officers:	Sandie Richards & Tracey Meredith
Access to Services Officer	Catherine Window

1. Introduction

- 1.1 Under the Housing (Wales) Act 2014, all Local Authorities are obliged to undertake a Gypsy and Traveller Accommodation Assessment (GTAA) to identify any shortfall in pitch provision in their area. .
- 1.2 The Authority's last GTTA was undertaken in 2016 and indicated there was an immediate need for 7 new pitches. Under the current legislation, the Authority is required to address this immediate need for pitches by 2020.
- 1.3 A site has been selected to the West of Pant Y Brawd Road in Llansamlet. and was listed in the Deposit LDP. The LDP is currently going through an examination in Public with the LDP Inspectors stating that progress in terms of developing the new site, will need to be demonstrated in order for the LDP examination to progress.

2. Proposal

- 2.1 Following an extensive evaluation of possible sites, it is proposed that a new site is developed at Tygwyn in Llansamlet. The proposed new site has now received Planning Permission and would comprise of 7 pitches along with service blocks for each pitch. The site would comply with Welsh Government Guidance regarding new site design

3. Equality and Engagement Implications

- 3.1 The Council is subject to the Public Sector Equality Duty as imposed by the Equality Act 2010 and must, in the exercise of their functions, have due regard to the need to:

- Eliminate unlawful discrimination, harassment and victimisation and other conduct prohibited by the Act.
- Advance equality of opportunity between people who share a protected characteristic and those who do not.
- Foster good relations between people who share a protected characteristic and those who do not.

Our Equality Impact Assessment process ensures that we have paid due regard to the above.

- 3.2 An EIA screening form has been completed and an EIA completed.

4. Financial Implications

- 4.1 The Welsh Government have indicated that the maximum grant available to bid for by the Authority will be £1m with no requirement for match funding.

- 4.2 Whilst the exact cost of the project is yet to be realised there is potential for costs to exceed £1m.

- 4.3 Certain elements of the site development such as drainage works may not be eligible for grant funding, with Welsh Government stating that it will look at the merits of each bid they receive and then make a decision on whether to fund these costs. The Authority will be required to meet any shortfall in funding.

- 4.4 It is anticipated that any grant funding awarded will be received in two equal sums over financial years 2018/19 and 2019/20.

- 4.5 The ongoing revenue costs associated with the new site, would be funded by the Housing & Public Protection Service, in line with the current arrangements for the existing permanent site.

- 4.6 A full list of financial implications are listed in Table 1

Table 1 – Financial Implications

	Current Financial Year	2019/20
Total Cost of Project	£500,000	£500,000
Capital	£500,000	£500,000
Revenue	£0	£0
Grant Applied for	£500,000	£500,000
Match Funding	£0	£0

5. Legal Implications

5.1 The proposed new site will enable the Council to meet its obligations under the Equality Act 2010 and the Housing (Wales) Act 2014. The Council are required to assess the needs of Gypsy Traveller families under sections 101-107 of the Housing (Wales) Act 2014 and ensure provision has been made for Gypsy Traveller families. The grant of planning permission for 7 pitches on the land to the west of Pant y Blawd Road ensures that there is now sufficient land available with a relevant lawful use to meet the full identified need for Gypsy Traveller families for the duration of the Local Development Plan period.

5.2 The Council will need to ensure that it complies with any terms and conditions attached to the Welsh Government funding.

Appendices: None

Background Papers: EIA Screening Form